



'Achievement for All'

# Parental Complaints Procedure For ST JOSEPH'S BOYS' SCHOOL

Reviewed \_\_\_\_\_

Date:

Agreed SMT \_\_\_\_\_  
Principal

Date:

Agreed BOG \_\_\_\_\_

Date:

## **THE COMPLAINTS PROCEDURE**

<b><u>CONTENTS</u></b>		<b>Page No.</b>
<b>1.</b>	<b>Scope of Complaints Procedure</b>	<b>3</b>
<b>2.</b>	<b>Aims</b>	<b>3</b>
<b>3.</b>	<b>What to Expect Under this Procedure</b>	<b>4</b>
<b>4.</b>	<b>Making a Complaint</b>	
	<b>4.1 About a Teacher other than the Principal</b>	<b>5-7</b>
	<b>4.2 About a Member of the School's Support Staff</b>	<b>9-10</b>
	<b>4.3 About the Principal</b>	<b>12-13</b>
<b>5.</b>	<b>Frivolous or Vexatious Complaints</b>	<b>14</b>
<b>6.</b>	<b>Record Keeping</b>	<b>14</b>
<b>Annex 1</b>	<b>Appeals Process</b>	<b>15</b>

# THE COMPLAINTS PROCEDURE

## ST JOSEPH'S BOYS' SCHOOL

### 1. SCOPE OF COMPLAINTS PROCEDURE

**The Board of Governors together with the Principal set the direction and tone of the school in all that they do and are committed to working with parents in the best interests of their children's education. The purpose of the Complaints Procedure is to address complaints raised by parents/guardians.**

- 1.1 The procedure covers all matters relating to the actions of staff employed in the school and the application of school procedures, where they affect individual pupils. However, school staff, and the Board of Governors recognise the difference between a concern and a complaint. Taking informal concerns seriously at the earliest possible stage will reduce the numbers that develop into formal complaints.
- 1.2 **Where it becomes evident at an early stage that a matter should be dealt with according to other established procedures or appeals mechanisms, this Complaints Procedure will be set aside in favour of the agreed procedure such as Child Protection, Special Education, Admissions, Suspensions and Expulsions, Grievance, Discipline, Bullying and Harassment or the Unsatisfactory Teaching Procedure.**
- 1.3 The school will not investigate anonymous complaints, unless deemed by the school to be of a serious nature. Anonymous complaints may be investigated where they relate to alleged Child Protection matters or alleged financial impropriety. This will be at the discretion of the school.

### 2. AIMS

- 2.1 In operating this Complaints Procedure we aim to:
  - encourage resolution of problems by informal means wherever possible;
  - allow swift handling of a complaint within established time-limits for action;
  - keep people informed of progress;
  - ensure a full and fair investigation;
  - have due regard for the rights and responsibilities of all parties involved;
  - respect confidentiality;
  - fully address all aspects of a complaint and provide an effective response and appropriate redress, where necessary; and
  - in the interest of continuous improvement, provide relevant information to the School's Senior Management Team and Board of Governors.
- 2.2 This Procedure is designed to be:
  - easily accessible and publicised;
  - simple to understand and use;
  - impartial; and
  - non-adversarial.

A copy of this Procedure is available on the school's website or is available from the school on request.

These procedures do not replace or supplement other established procedures and/or appeals mechanisms in such areas as Child Protection, Special Education, Admissions, Suspensions and Expulsions etc.

In addition, where it becomes evident at an early stage that the nature of your complaint may give rise to future disciplinary action, these procedures will be set aside in favour of other established Disciplinary Procedures.

We are not able to deal with anonymous complaints and therefore these procedures do not provide for a resolution of anonymous complaints except for the referral of child protection concerns to the appropriate Child Protection Procedures and Guidelines.

### **3. WHAT TO EXPECT UNDER THIS PROCEDURE**

#### **3.1 Your rights as a person making a complaint**

In dealing with your complaint we will ensure that you receive:

- fair treatment;
- courtesy;
- a timely response;
- accurate advice;
- respect for your privacy – complaints will be treated as confidentially as possible allowing for the possibility that we may have to consult with other appropriate parties about your complaint; and
- reasons for our decisions.

Where the complaint is upheld we will acknowledge this and address the complaint you have raised. If, after investigation, it is judged there are no grounds for your complaint, you will be advised accordingly.

#### **3.2 Your responsibilities as a person making a complaint**

In making your complaint we would expect that you:

- raise issues in a timely manner;
- treat our staff with respect and courtesy;
- provide accurate and concise information in relation to the issues you raise; and
- use these procedures fully and engage with them at the appropriate levels.

In addition, we would expect that you have reasonable grounds for making a complaint and are not seeking to invoke these or other procedures as a means of dealing with issues that are more appropriately dealt with in other ways.

### **3.3 Rights of parties involved during the investigation**

The process is non-adversarial and does not provide a role for any other statutory or non-statutory body.

#### **Complainant**

Where a meeting is arranged the complainant may be accompanied by another person where it is accepted, by the Board of Governors and the Principal, that this will assist the investigation and resolution of the complaint.

#### **Staff**

Staff may seek the advice and support from their professional body or Trade Union and may also be accompanied by another person to meetings where it is accepted, by the Board of Governors and the Principal, that this will assist the investigation and resolution of the complaint.

A member of staff who is the subject of a complaint will be provided with full details of any allegations made against him/her before being required to respond to the matters raised. In many occasions this may be best achieved by providing the member of staff with a copy of the letter. However, the views of the complainant will be sought before doing so.

#### **Legal Representation**

Legal representation, or representation by a person, or persons acting in a professional capacity **is not** permitted within this Procedure.

This Procedure does not take away from the statutory rights of any of the participants.

### **3.4 Where the complainant is a Governor**

Where the complainant is a member of the Board of Governors, s/he will play no part in the management, or appeal, of the complaint as set out in this Procedure.

## **4. MAKING A COMPLAINT**

### **4.1 Complaint about a Teacher (other than the Principal)**

#### **4.1.1 Informal Stage**

##### **Step 1 - Speaking with Teacher**

In the first instance, a complaint should normally be raised verbally with the teacher concerned, so that s/he may have an opportunity to address the issue(s). **Please observe the school's existing protocols for arranging and conducting such meetings and follow the school's policy with respect to access to members of staff.**

This approach will not prevent you from choosing to enter the formal process at a later stage, if you believe that to be an appropriate course of action.

## **Step 2 - Speaking with the Principal**

If your complaint remains unresolved following Step 1, you should arrange a meeting with the Principal to discuss the issue(s). In some circumstances the Principal may not be able to deal effectively with your complaint immediately, and s/he may require some time to investigate and respond. If further time is required you will be informed of the timescale and the likely date by which the Principal will respond.

### **4.1.2 Formal Stage**

## **Step 3- Writing to the Principal**

Sometimes it will not be possible for you to have your complaint resolved through the informal processes proposed at Steps 1 and 2, or indeed it might be more appropriate to initiate the procedures at Step 3. You should write to the Principal, and state the grounds for your complaint, as concisely as possible, addressing specifically the issue(s) that are of concern to you.

You will receive a written acknowledgement of your letter within ten working days. This will confirm that your letter has been received, and either:

- provide a response to the issue(s) you raised; or
- state that your complaint is being investigated and indicate when you can expect a response to be issued (normally a maximum of 20 working days from the date on which your letter was received). The investigation may require you to meet the Principal and due notification will be given of such meetings. The Principal may also talk to the parties relevant to the complaint.

## **Step 4 - Writing to Chairperson of the Board of Governors**

If you believe that your complaint has not been dealt with in a satisfactory manner following the completion of Steps 1, 2 and 3, you should write to the Chairperson of the Board of Governors, including, if applicable, copies of the original correspondence relating to Step 3. The Chairperson will be responsible for referring your complaint to a Complaints Sub-Committee of the Board of Governors, which will investigate and respond to your complaint. The Complaints Sub-Committee will have a minimum of three voting members.

Your written complaint should be as concise as possible and address specifically the issue(s) that are of concern to you. You will receive a written acknowledgement of your letter within ten working days. This will confirm that your letter has been received, and either:

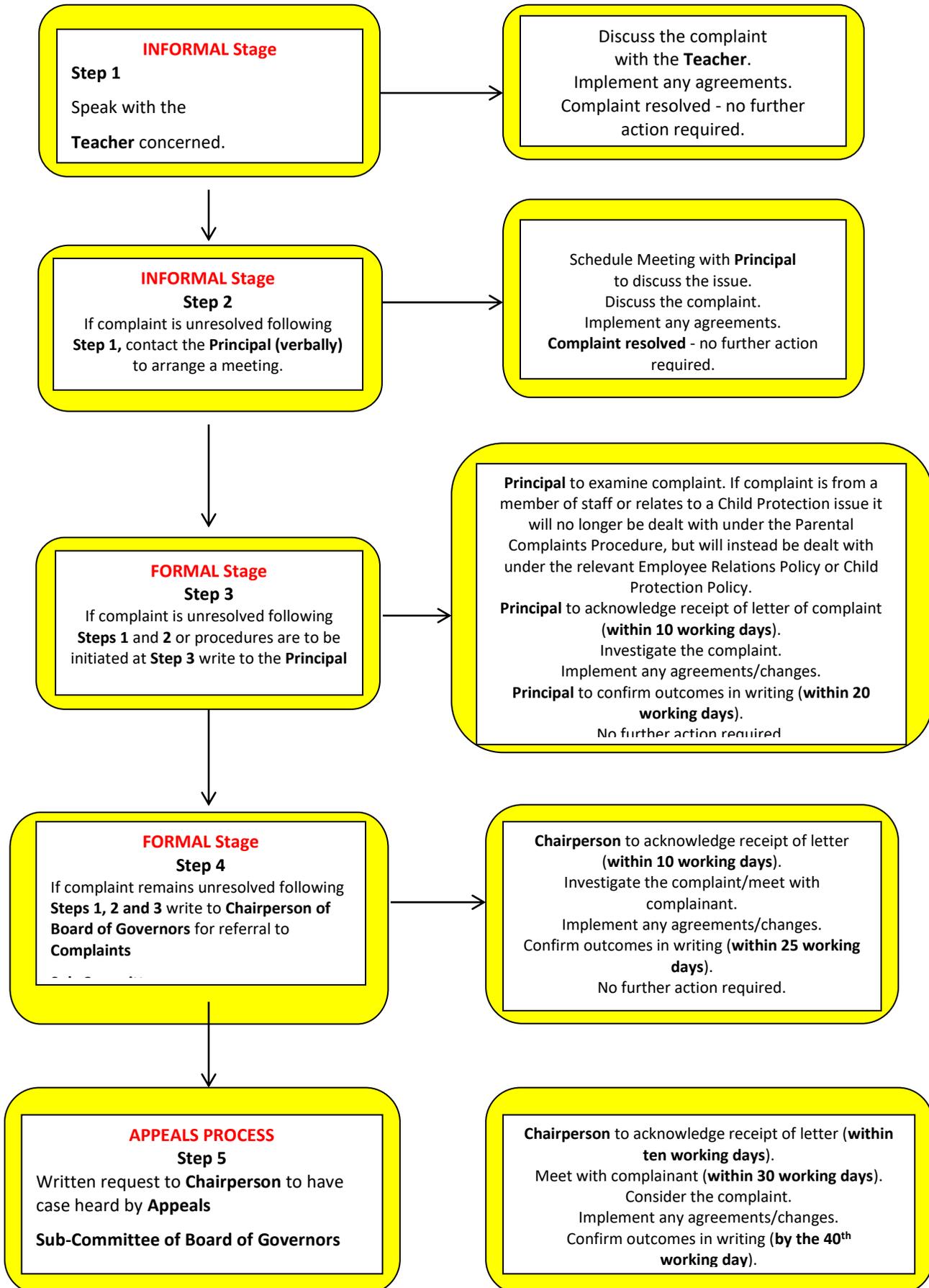
- provide a response to the issue(s) you raised; or
- state that your complaint is being fully investigated and indicate when you can expect a response to be issued (normally a maximum of 25 working days from the date on which your written complaint was received).

The investigation may require you to meet the Complaints Sub-Committee of the Board of Governors and due notification will be given of such meetings. The Complaints Sub-Committee of the Board of Governors may also talk to the parties relevant to the complaint.

## **Step 5 - Appeals Process**

If you are dissatisfied with the decision of the Sub-Committee of the Board of Governors, you may appeal the decision to the Chairperson of the Board of Governors. This procedure is outlined in **Annex 1 on Page 15**.

Making a complaint about a Teacher (other than the Principal) **(with timescales for responses)**.



## **4.2 Complaint about a member of the School's Support Staff**

### **4.2.1 Informal stage**

#### **Step 1- Raising verbally with the Principal**

A complaint concerning a member of the school's support staff should be raised verbally with the Principal. A meeting should be arranged with the Principal to discuss the issue(s). In some circumstances, the Principal may not be able to deal effectively with your complaint immediately and s/he may require some time to investigate and respond. If further time is required, you will be informed of the timescale and the likely date by which the Principal will respond.

### **4.2.2 Formal Stage**

#### **Step 2- Writing to the Principal**

Sometimes it will not be possible for you to have your complaint resolved through the informal processes proposed at Step 1 or it might be more appropriate to initiate the procedures formally. You should write to the Principal, and state the grounds for your complaint as concisely as possible addressing specifically the issue(s) that are of concern to you.

You will receive a written acknowledgement of your letter within ten working days. This will confirm that your letter has been received, and either:

- provide a response to the issue(s) you raised; or
- state that your complaint is being investigated and indicate when you can expect a response to be issued (normally a maximum of 20 working days from the date on which your letter was received). The investigation may require you to meet the Principal and due notification will be given of such meetings. The Principal may also talk to the parties relevant to the complaint.

#### **Step 3 - Writing to Chairperson of the Board of Governors**

If you believe that your complaint has not been dealt with in a satisfactory manner following the completion of Steps 1, and 2 you should write to the Chairperson of the Board of Governors, including, if applicable, copies of the original correspondence relating to Step 2. The Chairperson will be responsible for referring your complaint to a Complaints Sub-Committee of the Board of Governors, which will investigate and respond to your complaint. The Complaints Sub-Committee will have a minimum of three voting members.

Your written complaint should be as concise as possible and address specifically the issue(s) that are of concern to you. You will receive a written acknowledgement of your letter within ten working days. This will confirm that your letter has been received, and either:

- provide a response to the issue(s) you raised; or

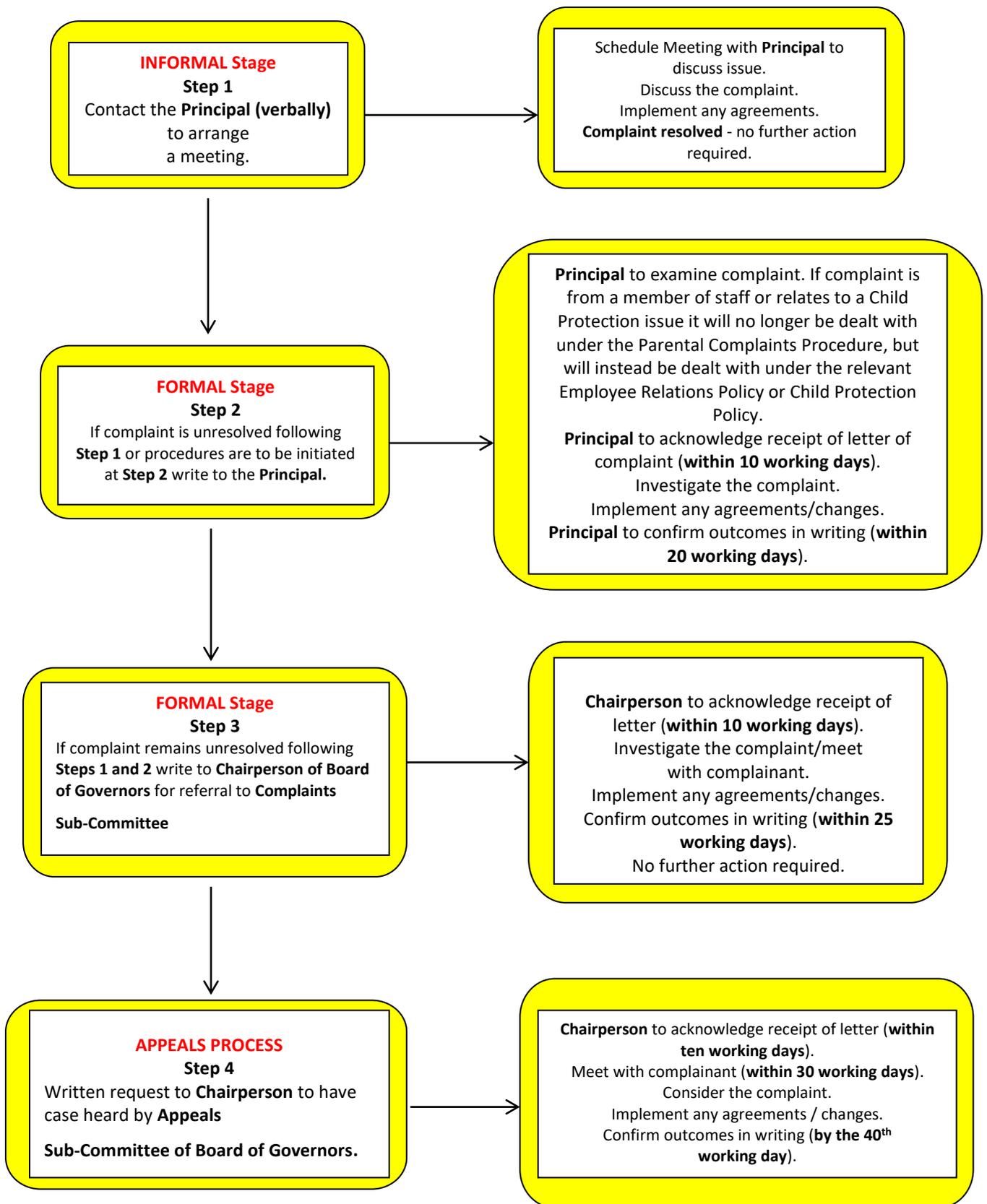
- state that your complaint is being fully investigated and indicate when you can expect a response to be issued (normally a maximum of 25 working days from the date on which your written complaint was received).

The investigation may require you to meet the Complaints Sub-Committee of the Board of Governors and due notification will be given of such meetings. The Complaints Sub-Committee of the Board of Governors may also talk to the parties relevant to the complaint.

#### **Step 4- Appeals Process**

If you are dissatisfied with the decision of the Sub-Committee of the Board of Governors, you may appeal the decision to the Chairperson of the Board of Governors. This procedure is outlined in **Annex 1 on Page 15**.

Making a complaint about a member of the school’s support staff (**with timescales for responses**).



### 4.3 Complaint about the Principal

**Where a complaint relates to the Principal the matter will be dealt with formally by the Board of Governors.**

#### 4.3.1 Formal Stage

##### **Step 1 - Writing to Chairperson of the Board of Governors**

Where a complaint relates to the Principal you should write to the Chair of the Board of Governors, stating the grounds for your complaint as concisely as possible. The Chairperson will be responsible for referring your complaint to a Complaints Sub-Committee of the Board of Governors, which will investigate and respond to your complaint. The Complaints Sub-Committee will have a minimum of three voting members. You will receive a written acknowledgement of your letter within ten working days. This will confirm that your letter has been received, and either:

- provide a response to the issue(s) you raised; or
- state that your complaint is being fully investigated and indicate when you can expect a response to be issued (normally a maximum of 25 working days from the date on which your written complaint was received).

##### **Step 2 - Appeals Process**

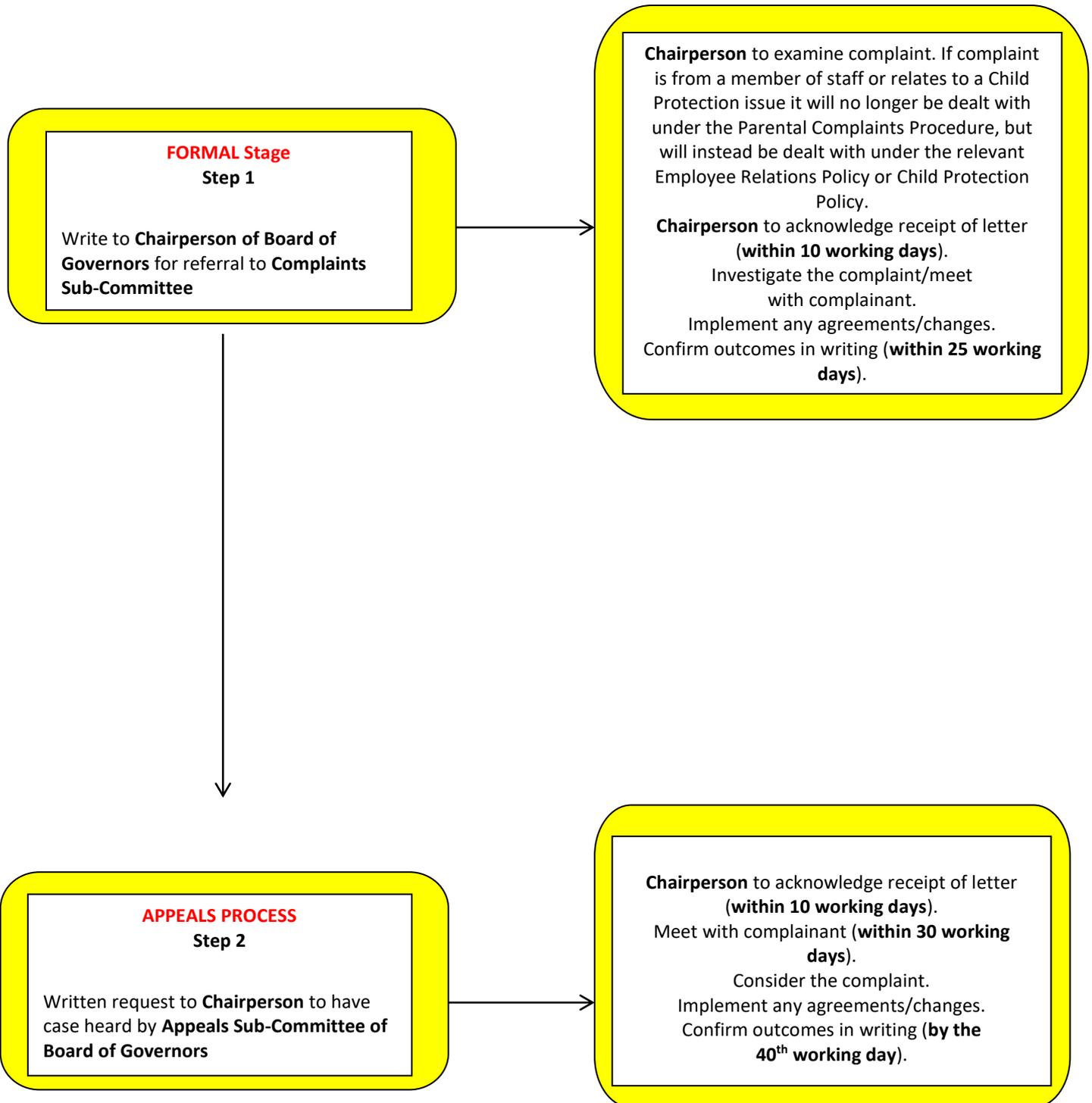
If you are dissatisfied with the decision of the Sub-Committee of the Board of Governors, you may appeal the decision to the Chairperson of the Board of Governors. This procedure is outlined in **Annex 1 on page 15**.

As from April 2017, the Board of Governors of the school must now inform complainants they can make a complaint to the NI. Public Services Ombudsman under statutory legislation Public Services Ombudsman Act NI (2016). ( **See appendix 1 for contact details** ) when the internal complaints process has been completed/ exhausted. The school will provide to the complainant a written notice which must state:

- The internal complaints process has been exhausted.
- That the complainant may refer the matter to the Ombudsman if he/ she remains dissatisfied with the school's response.
- The time limit for making such a referral.
- How to contact NIPSO.

The school must inform complainant within two weeks of the day on which the internal complaints process has been exhausted.

Making a complaint about the Principal (**with timescales for responses**).



## **FRIVOLOUS OR VEXATIOUS COMPLAINTS**

Where the Board of Governors considers the actions of a parent/group of parents to constitute a frivolous or vexatious complaint, it will seek advice from the relevant employing authority in order to protect staff from further such actions.

### **Record Keeping**

The School Principal shall maintain a record of all correspondence, conversations and meetings concerning your complaint. These records shall be held confidentially in the school and shall be kept apart from pupil records. All such records will be destroyed **three years after the date of the last correspondence on the issue.**

Our responses will be in plain English and we will attempt to address all the points and issues raised.

If you do not respond within a reasonable time to the outcome of an investigation into your comment / complaint we will assume that you are satisfied and do not require us to take further action.

### **Monitoring and Review of Policy**

This policy will be reviewed biannually with appropriate consultation and presented to Board of Governors as required.

SMT & PRINCIPAL \_\_\_\_\_

BOG Chairman \_\_\_\_\_

## **ANNEX I**

### **APPEALS PROCESS – APPEALS SUB-COMMITTEE OF THE BOARD OF GOVERNORS**

If you are dissatisfied with the decision of the Sub-Committee of the Board of Governors, you may write to the Chairperson of the Board of Governors within ten working days of receiving written feedback from the Complaints Sub-Committee, appealing their decision.

The Chairperson will be responsible for establishing an Appeals Sub-Committee comprising of at least three members of the Board of Governors who were not involved in the original investigation. You will be invited to a meeting of the Appeals Sub-Committee where your appeal will be heard.

You will receive a written acknowledgement of your letter within ten working days. This will confirm that your letter has been received and provide you with the date and time of the meeting with the Appeals Sub-Committee at which you will have an opportunity to explain the grounds for your appeal. This meeting will normally take place within 30 working days of your appeal request having been received.

Within ten working days of this meeting, you should expect a final written response. This will indicate the Governors' findings, their recommendations and the reasons supporting their decisions.

The decision of the Appeals Sub-Committee is final. At the end of the process the Chairperson will inform you, in writing, that the Complaints Procedure has been exhausted and that the matter is considered closed.

If following this you feel the school complaints procedure has been maladministered you can refer the matter to the office of the Northern Ireland Public Services Ombudsman (NIPSO)

## Appendix 1

The full text of the Public Services Ombudsman Act (Northern Ireland) 2016 can be Accessed at [www.legislation.gov.uk/nia/2016/4/enacted](http://www.legislation.gov.uk/nia/2016/4/enacted)

Contact details

**Northern Ireland Public Services Ombudsman  
Progressive House  
33 Wellington Place  
BELFAST  
BT1 6HN  
Freepost: Freepost NIPSO**

**Telephone: 028 9023 3821 or Freephone: 0800 30 34 24**

**Text Phone 028 9089 7789**

**Email: [nipso@nipso.org.uk](mailto:nipso@nipso.org.uk) Website: [www.nipso.org.uk](http://www.nipso.org.uk)**

**HANDLING COMPLAINTS IN  
CATHOLIC MAINTAINED  
SCHOOLS  
SAMPLE LETTERS**

### **Formal - Stage 3**

#### **Complaint made in Writing to Principal – Acknowledgement**

Dear

Thank you for your letter of **1 January 2004** in which you outlined your concerns regarding

---

\_\_\_\_\_.

#### **Option A**

I have investigated the various aspects of your complaint and would respond as follows

---

\_\_\_\_\_

---

—.

**or**

#### **Option B**

I hope that you will appreciate that I need some time in order to investigate your concerns to respond as fully as possible to the issues you have raised. I will write to you again within the next 10 working days.

**or**

#### **Option C**

It would be extremely helpful if you could contact the school in order to arrange a suitable time for a meeting to discuss your complaint. This will help me to investigate your concerns and to respond as fully as possible to the issues you have raised. I will write to you again within 10 working days of that meeting.

Yours sincerely

Principal

**Formal Stage 3**  
**Complaint made in Writing to Principal – Response following meeting**

Dear

Thank you for attending our meeting on **15 January 2004** in which we discussed your concerns regarding

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_.

Following that meeting and my own investigations into the various aspects of your complaint, I would respond as follows:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_.

Finally, I would like to take this opportunity to thank you for bringing your concerns to my attention and to assure you that the school always welcomes your contribution.

Yours sincerely

Principal

**Formal - Stage 4  
Complaint made in Writing to Chairperson of Governors –  
Acknowledgement**

Dear

Thank you for your letter of **1 January 2004** in which you outlined your concerns regarding

---

I have referred your complaint to a Sub-Committee of the Board of Governors for investigation and response to the various aspects of your complaint.

**Option A**

I hope that you will appreciate that the Sub-Committee will need some time in order to investigate your concerns to respond as fully as possible to the issues you have raised. The Sub-Committee will write to you with their response within the next 25 working days.

**Or**

**Option B**

I hope that you will appreciate that the Sub-Committee will need some time in order to investigate your concerns. Indeed, it would be extremely helpful if you could attend a meeting of the Sub-Committee to discuss your complaint on **15 January 2004 at 6.30pm in Any School**. This will help the Sub-Committee to investigate your concerns and to respond as fully as possible to the issues you have raised. The Sub-Committee will write to you with their response within 10 working days of that meeting.

Yours sincerely

Chairperson of the Board of Governors

**Formal - Stage 4  
Outcome of Sub-Committee Investigation / Meeting**

Dear

**OPTION A – No Meeting Needed**

Thank you for your letter of **1 January 2004** in which you outlined your concerns regarding

\_\_\_\_\_.

I have investigated the various aspects of your complaint and would respond as follows

\_\_\_\_\_  
\_\_\_\_\_.

**OPTION B – Meeting with Sub-Committee**

Thank you for attending our meeting on **15 January 2004** in which we discussed your concerns regarding

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

Following that meeting and the Sub-Committee’s own investigations into the various aspects of your complaint, I would respond as follows

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

**Both Options**

Our reasons for coming to our decision are

\_\_\_\_\_  
\_\_\_\_\_.

Finally, on behalf of the Governors’ Sub-Committee, I would like to take this opportunity to thank you for bringing your concerns to our attention and to assure you that the school continues to welcome your contribution.

Yours sincerely

Chairperson of the Governor Sub-Committee for Complaints

**Appeal  
Request to Appeal - Acknowledgement**

Dear

Thank you for your letter of **1 January 2004** in which you set out the grounds for appealing the previous outcomes to your complaint regarding

---

---

---

I have referred your complaint to the Board of Governors for them to investigate and respond to the various aspects of your complaint. To this end I have arranged for your case to be considered at the next meeting of the Board of Governors, which takes place on **15 January 2004 at 6.30pm in Any School**. You should attend this meeting so that you can have an opportunity to put forward your case in detail.

This will help the Board of Governors to consider all aspects of your concerns and then to respond as fully as possible to the issues you have raised. You will receive a full written response within 10 working days of that meeting.

Yours sincerely

Chairperson of the Board of Governors

**Appeal  
Outcome of Full Board of Governor Meeting**

Dear

Thank you for attending the Board of Governor meeting on **15 January 2004** in which you outlined your concerns in respect of

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

Following that meeting and our own investigations into the various aspects of your complaint, I would respond as follows

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

Our reasons for coming to our decision are

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

In relation to the general handling of your complaint I would comment as follows

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

Finally, I would like to take this opportunity to thank you for bringing your concerns to our attention and to assure you that the Board of Governors and school staff continue to welcome your contribution to school life.

Yours sincerely

Chairperson of the Board of Governors

## **Discourteous or Threatening Behaviour Towards a Member of Staff**

Dear

I have been informed of a regrettable incident when you attended the school on **1 January 2004** to discuss your concerns with a member of staff.

Whilst I understand that that your visit was prompted by a perceived complaint in relation to the member of staff with whom you spoke, I regret that I must insist that you do not re-enter school premises for any reason without first contacting the school principal to arrange your visit. This decision has been taken so as to protect the pupils and staff in the school and to avoid the potential for a further similar incident, which could have other more serious consequences.

In the meantime, I would encourage you to read the enclosed school's Complaints Procedure in relation to your original issue.

Yours sincerely

Chairperson of the Board of Governors